STATE OF HAWAII

DEPARTMENT OF ACCOUNTING AND GENERAL SERVICES ANNUAL REPORT ON

THE STATE RISK MANAGEMENT REVOLVING FUND
ON THE TRANSFER OF FUNDS FOR RISK MANAGEMENT

SUBMITTED TO

THE TWENTY-THIRD STATE LEGISLATURE

IN RESPONSE TO ACT 178, SECTION 139, SLH 2005

STATE OF HAWAII DEPARTMENT OF ACCOUNTING AND GENERAL SERVICES ANNUAL REPORT ON THE STATE RISK MANAGEMENT REVOLVING FUND ON THE TRANSFER OF FUNDS FOR RISK MANAGEMENT

Purpose:

Act 178, Section 139, Session Laws of Hawaii 2005, added a requirement for an annual report to be prepared by the Department of Accounting and General Services (DAGS) on the State Risk Management Revolving Fund (the Revolving Fund) to include information on the transfer of funds from other agencies to the Revolving Fund for the administration and implementation of risk management costs and expenses. The report should include the date of the transfers, amount of the actual transfers, amount of any additional anticipated transfers, and the amount budgeted for each agency for the preceding and current fiscal year. The report should be submitted to the Legislature no later than twenty days prior to the convening of the 2006 and 2007 regular sessions and the Department shall be assessed a fee of \$10,000 for each business day beyond the date that the report is due and that the Comptroller shall deposit all assessments to the general fund.

Background:

The State Risk Management Revolving Fund (the Revolving Fund) was established in 1988 by section 41D-4, HRS to carry out the responsibilities of the Comptroller under section 41D-2, HRS. It authorizes the purchase of statewide property, liability, and crime insurance; pay claims to state agencies for losses to property of the State caused by fire or other casualty; pay for losses caused by any officer or employee of the State for dishonesty, theft, embezzlement, forgery, misappropriation, etc.; to receive assessments from state agencies based on relevant risk and loss experience; and receive settlements and claims from insurance companies, state agencies, etc.

Report On Transfer of Funds for Risk Management:

Refer to the following report.

TRANSFER OF FUNDS FOR RISK MANAGEMENT Fiscal Years 2005 and 2006

Dept. Name: <u>Accounting and General Services</u> Program I.D. No.: <u>AGS-203</u>

Date: Oct. 20, 2005
Prepared by: Julie Ugalde
Phone No.: 586-0550

Laurich Laur			1,470	7/19/2005	1,470	1,470	7/12/2004	Legislative Auditor	0832	34	
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ing Fund Princes Fritansier Fritansier Fritansier Amount of Actual Transfer Fritansier	'			7/31/2005	5,819	5,819	7/27/2004	Office of Hawaiian Affairs	0832	31	
le Sources FY 2005 FY 2005 FY 2006 Amount of Actual Transfer Amount of Actua				8/18/2005	320,812	320,812	8/16/2004	Dept. of Public Safety	0832	30	
ing Fund FY 2005 FY 2005 FY 2005 FY 2005 FY 2006 FY				7/14/2005	16,464	16,464	7/21/2004	Dept. of Taxation	0832	29	
ing Fund FY 2005 FY 2005 FY 2005 FY 2005 PY 2006 FY 2006 FY 2006 FY 2006 FY 2006 FY 2006 FY 2006 Amount of Amount Actual Transer Budgeted 10/11/2004 \$ 47,667 \$ 48,867 \$ 47,667 \$ 47,667 \$ 48,867 \$ 47,667 \$ 48,867 \$ 48,867 \$ 48,867 \$ 47,488 \$ 47,148 \$				7/26/2005	4,942	4,942	10/13/2004	Lieutenant Governor	0832	28	
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ing Fund FY 2005		**			2,900	2,900	7/15/2004	Governor's Office	0832	26	
ing Fund FY 2005 FY 2005 FY 2005 FY 2006 FY 2006 FY 2006 Amount of Am	11	-		7/28/2005	8,875	8,875	7/8/2004	Dept. of Human Resources	0832	25	
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ing Fund FY 2005 FY 2005 FY 2005 FY 2006 FY	1			7/8/2005	36,501	36,501	7/14/2004	Attorney General	0832	23	
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ing Fund Page Property Pro	_ 1 ×	X			XX	XX		Automotive Management			
le Sources FY 2005 FY 2005 FY 2006 FY 2006 Amount of Actual Transfer Actual Transer Budgeted PH 2005 T/14/2004 S 47.067 S 47.067 9/30/2005 \$ 47.067 pment 9/16/2004 243.885 7/15/2005 7/25/2005 7/25/2005 7/25/2005 7/25/2004 243.885 7/35/2005 7/25/2005 7/25/2005 7/25/2005 7/25/2006 243.885 7/25/2006 243.885 7/25/2005 7/25/2005 7/25/2005 7/25/2006 243.885 7/25/2006 243.885 7/25/2005 7/25/2005 7/25/2006 243.885 7/25/2005 7/25/2005 7/25/2005 7/25/2006 243.885 7/25/2006 243.885 7/25/2005 7/25/2005 7/25/2006 243.885 7/25/2006 243.885 7/25/2005 7/25/2005 7/25/2006 243.885 7/25/2006 243.885 7/25/2006 243.885 7/25/2005 2868.829 7/25/2005 2868.829 7/25/2005 2868.829 7/25/2006 224.886 7/25/2006 7/25/	. JE			10/13/2005	223,289	223,289	12/9/2004	Dept. of Accounting & General Services	0832	19	
ing Fund Princes FY 2005 FY 2005 FY 2006 FY 2		1		8/29/2005	64,585	64,585	5/17/2005	Dept. of Labor and Industrial Relations	0832	≅	
ling Fund FY 2005				8/16/2005	179,298	179,298	8/12/2004	HCDCH	0832	17	
ing Fund Part Page		L		7/22/2005	130,363	130,363	2/14/2005	Dept. of Human Services	0832	16	
ing Fund FY 2005		_		7/18/2005	120,812	120,812	8/16/2004	Judiciary	0832	댨	
ing Fund FY 2005 FY 2005 FY 2006 FY 2006 FY 2006 Amount of Amount of Amount of Amount of Hawaii 7/15/2004 765,837 7/15/2004 7/15/2004 7/15/2004 7/15/2004 7/15/2004 7/15/2004 7/15/2004 7/15/2004 7/15/2004 7/15/2005 7/15/		-		9/8/2005	14,888	14,888	9/30/2004	Hawaiian Home Lands	0832	14	
ing Fund FY 2005		_		7/28/2005	303,129	303,129	10/15/2004	Hawali Health System Corporation	0832	ည်	
ing Fund FY 2005 FY 2005 FY 2006 FY 2006 Date of Transfer Amount of Amount of Actual Transer Budgeted	_	472,249			472,249	472,249	7/15/2004	Dept, of Health	0832	12	
ing Fund FY 2005	_	#		7/19/2005	72,534	72,534	7/12/2004	Dept. of Defense	0832	<u> </u>	
ing Fund FY 2005	_	-		7/11/2005	21,446	21,446	7/20/2004	Research Corporation of University of Hawaii	0832	ö	
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ing Fund FY 2005		-		7/25/2005	96,480	96,480	7/23/2004	Library Services	0832	œ	
ing Fund FY 2005 FY 2005 FY 2005 FY 2006 Amount of Amount of Amount of Actual Transfer pment 8/5/2004 109,780 109,780 7/25/2005 \$ 47,067 pment 8/5/2004 109,780 109,780 7/25/2005 \$ 47,067 urces 9/16/2004 336,261 336,261		1	4,856,743	7/15/2005	4,856,743	4,856,743	7/15/2004	Dept of Education	0832	7	
ing Fund FY 2005 FY 2005 FY 2005 FY 2005 FY 2006 Amount of Amount of Amount of Actual Transer Poment 8/5/2004 FY 2005 FY 2005 FY 2006 F		**		7/22/2005	287,842	287,842	7/21/2004	DOT Highways	0832	တ	
ing Fund FY 2005		-		7/18/2005	765,637	765,637	7/27/2004	DOT Airports	0832	5	
ing Fund We Sources FY 2005 FY 2005 FY 2006 FY	一	1		7/15/2005	243,885	243,885	7/14/2004	DOT Harbors	0832	4	
ing Fund We Sources	_				336,261	336,261	9/16/2004	Dept. of Land & Natural Resources	0832	ω	
ing Fund Image: Fund Fy 2005 Fy 2005 Fy 2006 Fy 2006	_	-		7/25/2005	109,780	109,780	8/5/2004	Business & Economic Development	0832	2	
ing Fund We Sources FY 2005 FY 2005 FY 2006 FY 2006 Date of Transfer Amount of Amount of Amount of Actual Transfer Budgeted Actual Transfer		1	\$	9/30/2005			10/11/2004	Agriculture	0832	_	
ing Fund Ing Fu		Transferred During the Year			Budgeted	Actual Transer					
Name of Fund: State Risk Management Revolving Fund Legal Authority: Sec. 41D-4(b) Appropriation Account Number(s): S-XX-321-M		FY 2006 Amount To Be	FY 2006 Amount of	FY 2006 Date of Transfer	FY 2005 Amount	FY 2005 Amount of	FY 2005 Date of Transfer	Description of Revenue Sources	Source Codes		
Name of Fund: State Risk Management Revolving Fund Legal Authority: Sec. 41D-4(b) Appropriation Account Number(s): S-XX-321-M		and the state of t	Amendo Al Andrews (Al Andrews)								
Name of Fund: State Risk Management Revolving Fund Legal Authority: Sec. 41D-4(b)			-	Management	CARCOLI I ARREST VII SURESTAN VOCUS CONTROL SONT		MARINE PARTIES AND	count Number(s): S-XX-321-M	opriation Ac	Appr	
Name of Fund: State Risk Management Revolving Fund	-		The state of the s				A-2-11111A-V-2-11-2-11-11-11-11-11-11-11-11-11-11-11	000. 110 1(0)	Additionly.	690	
Name of Fund: State Risk Management Revolving Fund								Sec 41D-4(b)	A thorive	D .	
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TRANSFER OF FUNDS FOR RISK MANAGEMENT Fiscal Years 2005 and 2006

Dept. Name: Accounting and General Services
Program I.D. No.: AGS-203

Date: Oct. 20, 2005 Prepared by: Julie Ugalde Phone No.: 586-0550

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2006 FY 2006 f Transfer Amount of	FY 2006 Amount To Be	Amount of Additional	FY 2006 Amount
Actual Transfer	Transferred During the Year	Anticipated Transfers	Budgeted
7/11/2005 259	â	_	259
)05 707	Ł	*	707
7/11/2005 2,021	1		2,021
\$ 10,926,171	\$ 808,510	\$0	\$ 11,734,681
\$ 10,926,171	\$ 808,510	\$0	\$ 11,734,681
2006 FY Transfer Actual 7/11/2005 7/11/2005 7/11/2005 5 10 \$ 10 \$ 10	2006 Dunt of Transfer 707 2,021),926,171),926,171	2006 PY 2006 Dunt of Amount To Be Transfer During the Year 2,021 - 2,021 \$ 808,510 ,926,171 \$ 808,510 ,926,171 \$ 808,510 dget but was inadvertently lef	FY 2006 Amount To Be Additional Transferred During the Year Transfers 5 808,510 \$00,510 \$00 \$00 \$00 \$00 \$00 \$00 \$00 \$00 \$00 \$